

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of IKEHARA et al. Atty. Ref.: 925-288 Serial No. TC/A/U.: 2821 10/812,317 Filed: March 30, 2004 Examiner: H. VY For: SEMICONDUCTOR LASER DEVICE AND OPTICAL PICKUP **DEVICE** September 21, 2005 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: INFORMATION DISCLOSURE STATEMENT 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)** [within 3 months of filing or prior to 1st Office Action] N/C M 2. **Statement Pursuant to 37 CFR 1.97(c)** [before Final Office Action and Allowance] N/C 3. Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action and Allowance] \$180.00 4. Pursuant to 37 CFR 1.97(d) [before Issue Fee payment] \$180.00 The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98: \boxtimes 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (or foreign search report) is enclosed herewith. This paper is submitted in accordance with:

37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

6.

IKEHARA et al. Serial No. 10/812,317

\boxtimes	7.	37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and						
	\boxtimes	a) The required Statement made in item 9 below; or						
		b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.						
	8.	37 CFR §1.97(d): [before issue fee payment]; and						
		a) The fee (\$180.00) required by 37 CFR \$1.17(p) is authorized in item 10 below; and						
		b) The required Statement is stated in item 9 below.						
\boxtimes	9.	Statement under 37 CFR 1.97(e)						
		a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or						
		b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.						
\boxtimes	10.	Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.						
		Respectfully submitted,						
		By: Joseph A. Rhoa Reg. No. 37,515						
JAR:ca	j	10g. 110. 57,515						

- 2 -

IKEHARA et al. Serial No. 10/812,317

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	CITATION		10/812,317					
	SEP 21 2	ODS APPLICANT						
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(Use	several sheets if Appendix		GROUP					
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INER		U.S. PA	TENT DOCUMENTS			FILING	DATE	
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	US 2002/0093893	7/2002	Matsuda					
	US 2002/0163874	11/2002	Nakanishi et al.					
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	OTHER DO	CUMENTS (includ	ing Author, Title, Date, Per	tinent pages,	etc.)			
	CN 1338735 A (U.S.	counterpart is US	2002/0093893) listed above					
	CN 1388960 A (U.S. counterpart is US 2002/0163874) listed above							
	Chinese Office Action	n mailed July 8, 20	05 (w/English Translation t	hereof)				
niner	L		Date Considered					

Form PTO-FB-A820 (Also PTO-1449)